

# Chapter 2 Basic Physics of Semiconductors

- **2.1 Semiconductor materials and their properties**
- **2.2 PN-junction**
- **2.3 Reverse Breakdown**

# Semiconductor & PN Junction

- To deal with the *analysis* and *design* of circuits, a good understanding of devices is essential.
- In this chapter, we begin with the concept of semiconductors and study the movement of charge (i.e., the flow of current) in them. 二極體
- Next, we deal with the “**PN junction**,” which also serves as **diode**, and formulate its behavior. PN接面 電路模型
- Our ultimate goal is to represent the device by a *circuit model* (consisting of resistors, voltage or current sources, capacitors, etc.), so that a circuit using such a device can be analyzed easily.
- Semiconductor devices serve as heart of microelectronics.
- PN junction is the most fundamental semiconductor device.

## Semiconductors

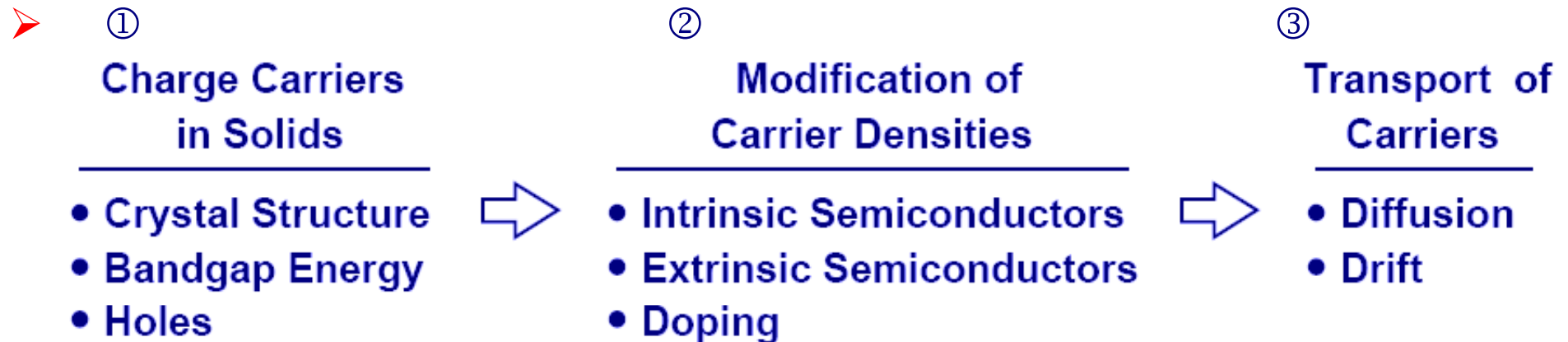
- Charge Carriers
- Doping
- Transport of Carriers

## PN Junction

- Structure
- Reverse and Forward Bias Conditions
- I/V Characteristics
- Circuit Models

# Charge Carriers in Semiconductor

- To understand PN junction's IV characteristics, a logical thought process as follows:



- First, identify charge carriers in solids and formulate their role in current flow;
- Second, examine means of modifying the density of charge carriers to create desired current flow properties;
- Third, we determine current flow mechanisms.
- These steps naturally lead to the computation of the current/voltage (I/V) characteristics of actual diodes in the next section.

# Periodic Table

- This abridged table contains elements with three to five *valence electrons*, with **Silicon (Si)** being the most important. 價電子
- *Crystalline* semiconductor (IV) and *compound* semiconductor (III-V, II-VI) are commonly used in semiconductor industry. 化合物半導體

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**Table 1.2** A portion of the periodic table

III	IV	V
5 <b>B</b> Boron	6 <b>C</b> Carbon	
13 <b>Al</b> Aluminum	14 <b>Si</b> Silicon	15 <b>P</b> Phosphorus
31 <b>Ga</b> Gallium	32 <b>Ge</b> Germanium	33 <b>As</b> Arsenic
49 <b>In</b> Indium		51 <b>Sb</b> Antimony

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**Table 1.1** A list of some semiconductor materials

Elemental semiconductors		Compound semiconductors	
Si	Silicon	GaAs	Gallium arsenide
Ge	Germanium	GaP	Gallium phosphide
		AlP	Aluminum phosphide
		AlAs	Aluminum arsenide
		InP	Indium phosphide

B:硼, Al:鋁, Ga:鎵, In:銦  
 C:碳, Si:矽, Ge:鍺, Sn:錫  
 N:氮, P:磷, As:砷, Sb:銻  
 GaAs:砷化鎵 . . . . .

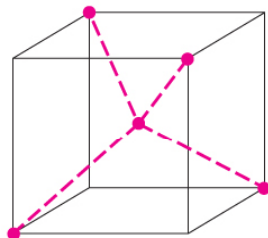
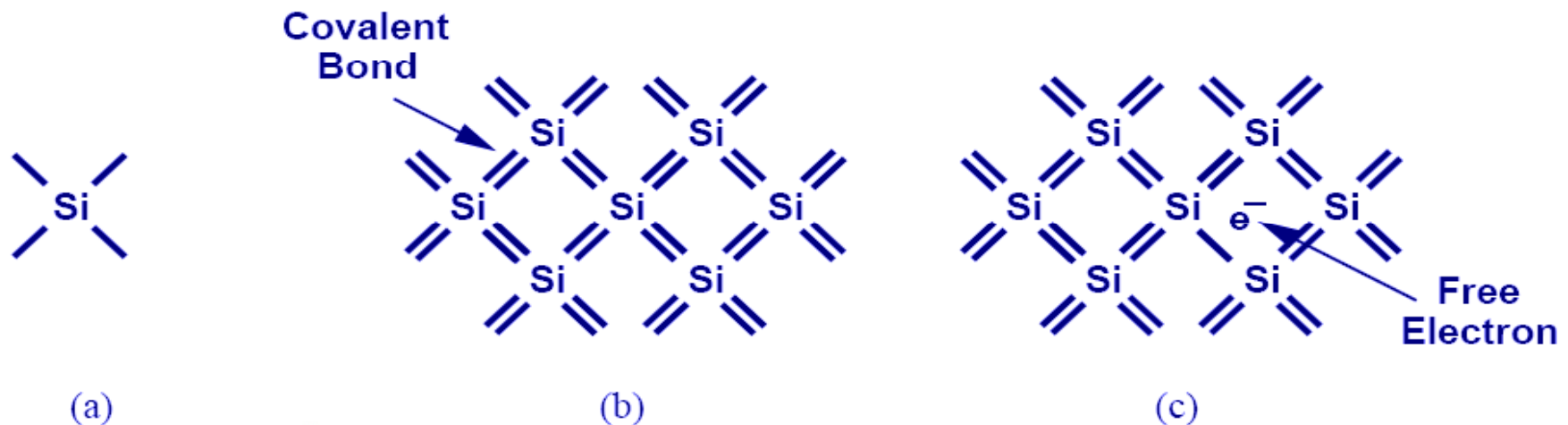
# Silicon

- Si has four valence electrons. Therefore, it can form *covalent bonds* with four of its neighbors. 共價鍵

Valence electrons: electrons in the outermost shell

Covalent bond: valence electrons are shared between atoms

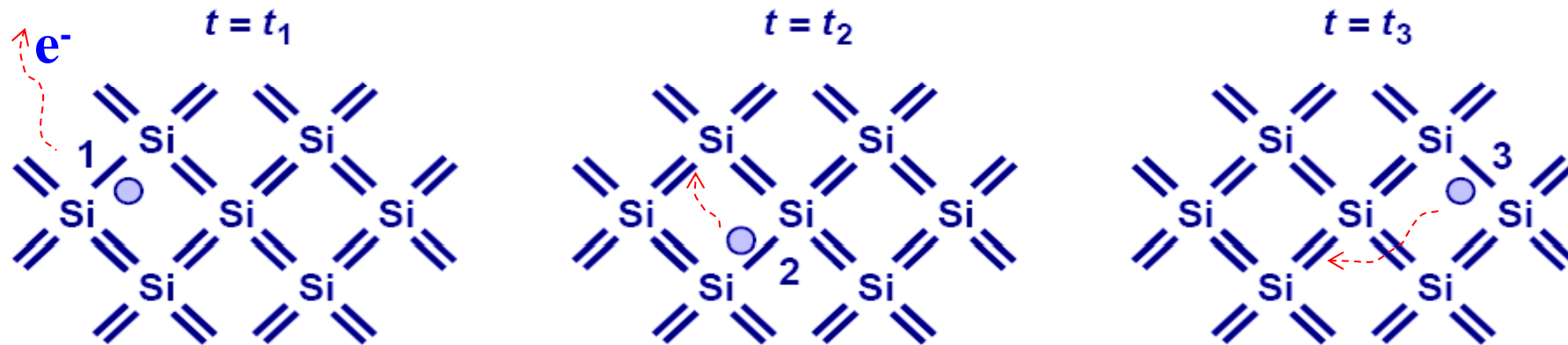
- When temperature goes up, **electrons** in the covalent bond can become free.



Tetrahedral configuration

# Electron-Hole Pair Interaction

- At 0K, no bonds are broken, Si is an insulator.
- As temperature increases, a bond can break, releasing a valence electron and leaving a broken bond (**hole**). Current can flow. 電洞, 帶正電荷
- With free electrons breaking off covalent bonds, **holes** are generated.
- Holes can be filled by absorbing other free electrons, so effectively there is a flow of charge carriers.



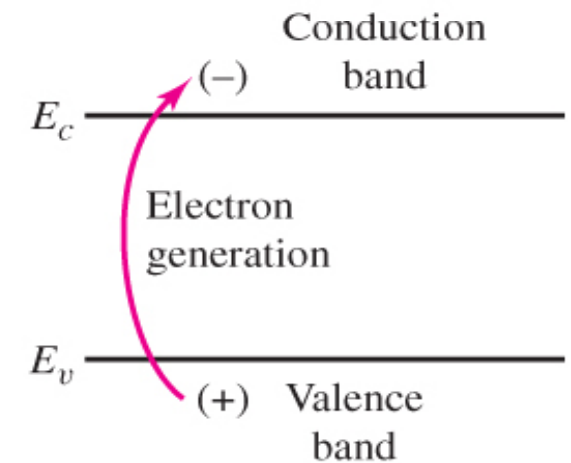
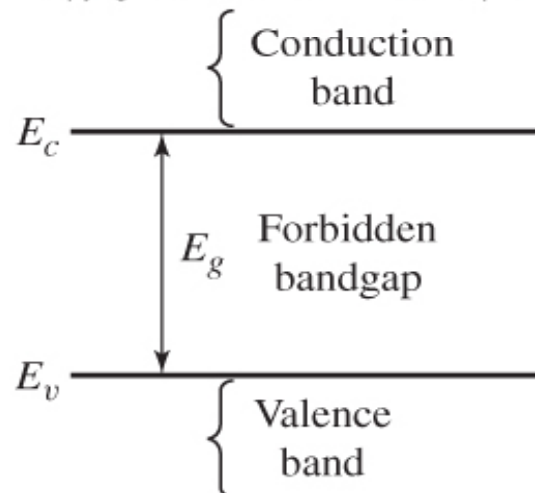
# Concept of Energy Band

能帶

- $E_V$ : the max energy of valence band
- $E_C$ : the min energy of conduction band
- $E_g$ : the energy required to break the covalent bond
  - **bandgap** energy,  $= E_V - E_C$ 
    - $E_g$  of the insulators is in range of 3-6 eV.
    - $E_g$  of the semiconductor is on the order of 1 eV
- Forbidden bandgap
  - No electron exist within this region
- Electron gaining energy
  - moving into  $E_C$  from  $E_V$

$(1\text{eV}=1.6\times 10^{-19}\text{ J})$

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# Free Electron Density at a Given Temperature

- $E_g$ , or **bandgap energy** determines how much effort is needed to break off an electron from its covalent bond. For silicon,  $E_g = 1.12$  eV;  $1 \text{ eV} = 1.6 \times 10^{-19} \text{ J}$
- There exists an exponential relationship between the free-electron density,  $n_i$ , the number of electrons per unit volume, and bandgap energy.

$$n_i = 5.2 \times 10^{15} T^{3/2} \exp \frac{-E_g}{2kT} \text{ electrons / cm}^3$$

$$n_i(T = 300^0 K) = 1.08 \times 10^{10} \text{ electrons / cm}^3$$

$$n_i(T = 600^0 K) = 1.54 \times 10^{15} \text{ electrons / cm}^3$$

where  $k = 1.38 \times 10^{-23}$  J/K, the Boltzmann constant

- Insulators display a high  $E_g$ ; for example, 2.5 eV for diamond. Conductors, on the other hand, have a small  $E_g$ . Finally, *semiconductors* exhibit a moderate  $E_g$ , typically ranging from 1 eV to 1.5 eV.
- Silicon has  $5 \times 10^{22}$  atoms/cm<sup>3</sup>, hence, only one in  $5 \times 10^{12}$  atoms benefit from a free electron at room temperature.



# Intrinsic Semiconductor

- The “pure” silicon is an “*intrinsic* semiconductor,” suffering from a very high resistance. 本質半導體
- In an intrinsic semiconductor, the electron density,  $n (= n_i)$ , is equal to the hole density,  $p$ . Thus,  $np = n_i^2$
- It is possible to modify the *resistivity* of silicon by replacing some of the atoms in the crystal with atoms of another material.
- The controlled addition of an “*impurity*” to an intrinsic semiconductor is called “*doping*,” and the impurity itself a “*dopant*.” 摻雜、摻雜物
- After doped, the equation  $np = n_i^2$  still holds, where  $n$  and  $p$  respectively denote the electron and hole densities in the extrinsic (doped) semiconductor.

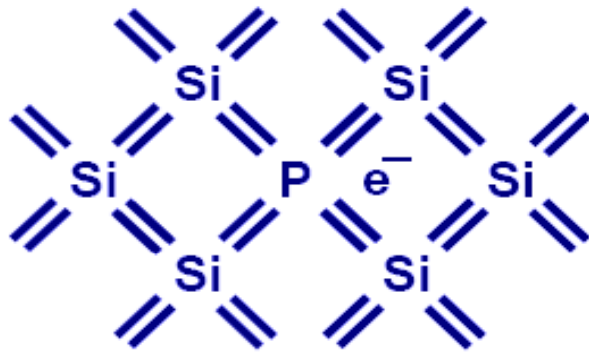
**Example 2.2:** The above result seems quite strange. How can  $np$  remain constant while we add more donor atoms and increase  $n$ ?

**Solution:** The equation reveals that  $p$  must fall *below* its intrinsic level as more  $n$ -type dopants are added to the crystal. This occurs because many of the new electrons donated by the dopant “*recombine*” with the holes that were created in the intrinsic material.

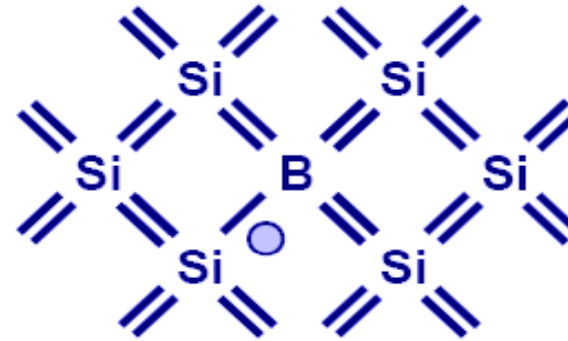
Why can we not say that  $n + p$  should remain constant? 再結合

# Doping

- Pure Si can be doped with other elements to change its electrical properties.
- For example, if Si is doped with P (phosphorous), then it has more electrons, or becomes type N (electron). P is called **donor**.
- If Si is doped with B (boron), then it has more holes, or becomes type P (hole). B is called **acceptor**.



N-type



P-type

## Example 2.3

A piece of crystalline silicon is doped uniformly with phosphorus atoms. The doping density is  $10^{16}$  atoms/cm<sup>3</sup>. Determine the electron and hole densities in this material at the room temperature.

### Solution

The addition of  $10^{16}$  *P* atoms introduces the same number of free electrons per cubic centimeter. Since this electron density exceeds that calculated in Example 2.1 by six orders of magnitude, we can assume

$$n = 10^{16} \text{ electrons/cm}^3$$

It follows from (2.2) and (2.5) that

$$p = n_i^2/n = 1.17 \times 10^4 \text{ holes/cm}^3$$

Note that the hole density has dropped below the intrinsic level by six orders of magnitude. Thus, if a voltage is applied across this piece of silicon, the resulting current predominantly consists of electrons.

**Exercise** At what doping level does the hole density drop by three orders of magnitude?

# Electron and Hole Densities

- The product of electron and hole densities is ALWAYS equal to the square of intrinsic electron density regardless of doping levels.

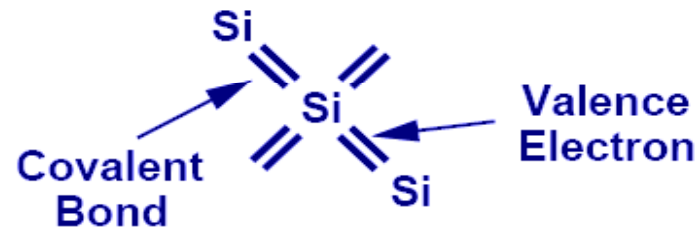
$$np = n_i^2$$

多數載子	Majority Carriers :	$p \approx N_A$	
少數載子	Minority Carriers :	$n \approx \frac{n_i^2}{N_A}$	if doped with $N_A (\gg n_i)$
-----			
	Majority Carriers :	$n \approx N_D$	
	Minority Carriers :	$p \approx \frac{n_i^2}{N_D}$	if doped with $N_D (\gg n_i)$

Since typical doping densities fall in the range of  $10^{15}$  to  $10^{18}$  atoms/cm<sup>3</sup>, the above expressions are quite accurate.

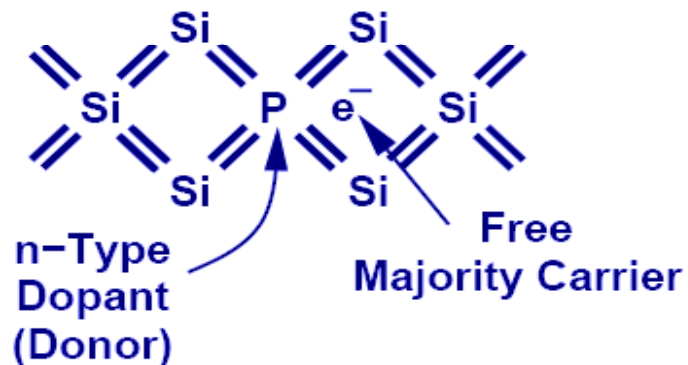
# Summary of Charge Carriers

## Intrinsic Semiconductor

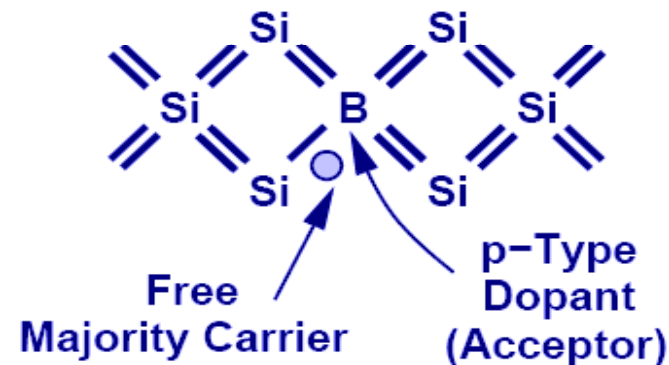


## Extrinsic Semiconductor

Silicon Crystal  
 $N_D$  Donors/cm<sup>3</sup>



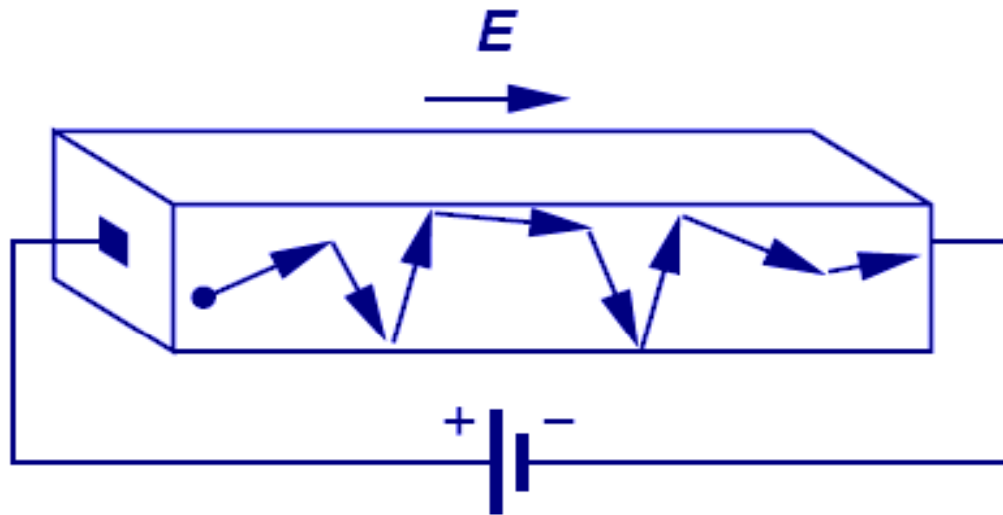
Silicon Crystal  
 $N_A$  Acceptors/cm<sup>3</sup>



- Charge particles will move in semiconductor, which results electric current.

# First Charge Transportation Mechanism: Drift

- Charge particles move due to an electric field is called **drift**.
- Charge particles will move at a velocity that is proportional to the electric field.



$$\vec{v}_h = \mu_p \vec{E}$$

$$\vec{v}_e = -\mu_n \vec{E}$$

遷移率

- $\mu$  is called the **mobility**, usually expressed as  $\text{cm}^2/(\text{V}\cdot\text{s})$
- In silicon, the mobility of electrons is  $\mu_n = 1350 \text{ cm}^2/(\text{V}\cdot\text{s})$ , and hole has  $\mu_p = 480 \text{ cm}^2/(\text{V}\cdot\text{s})$

# Drift and Diffusion Currents

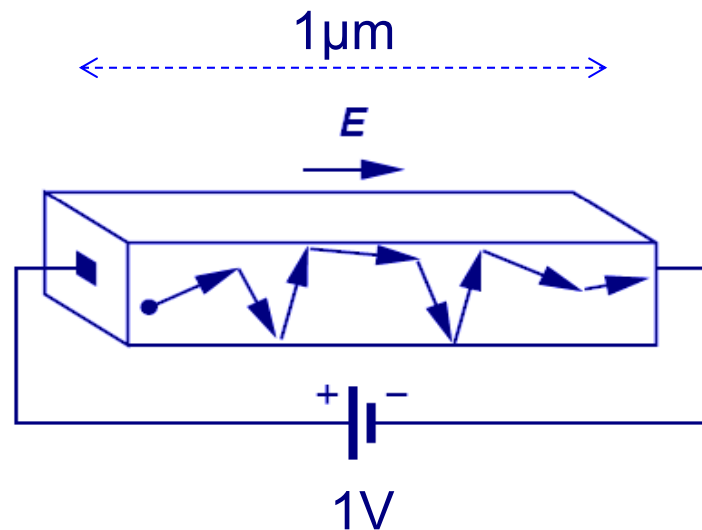
- Two basic processes cause electrons and holes to move in semiconductor.
- Drift: 漂移
  - Cause by electric field (E)
  - E produces a force that acts on free electrons and holes.
- Diffusion: 擴散
  - Cause by variations in the concentration (concentration gradients)
    - Nonhomogeneous doping distribution : Gradients
    - Injection of a quantity of electrons or holes into a region.

## Example 2.5

A uniform piece of n-type of silicon that is  $1\ \mu\text{m}$  long senses a voltage of  $1\ \text{V}$ . Determine the velocity of the electrons.

### Solution

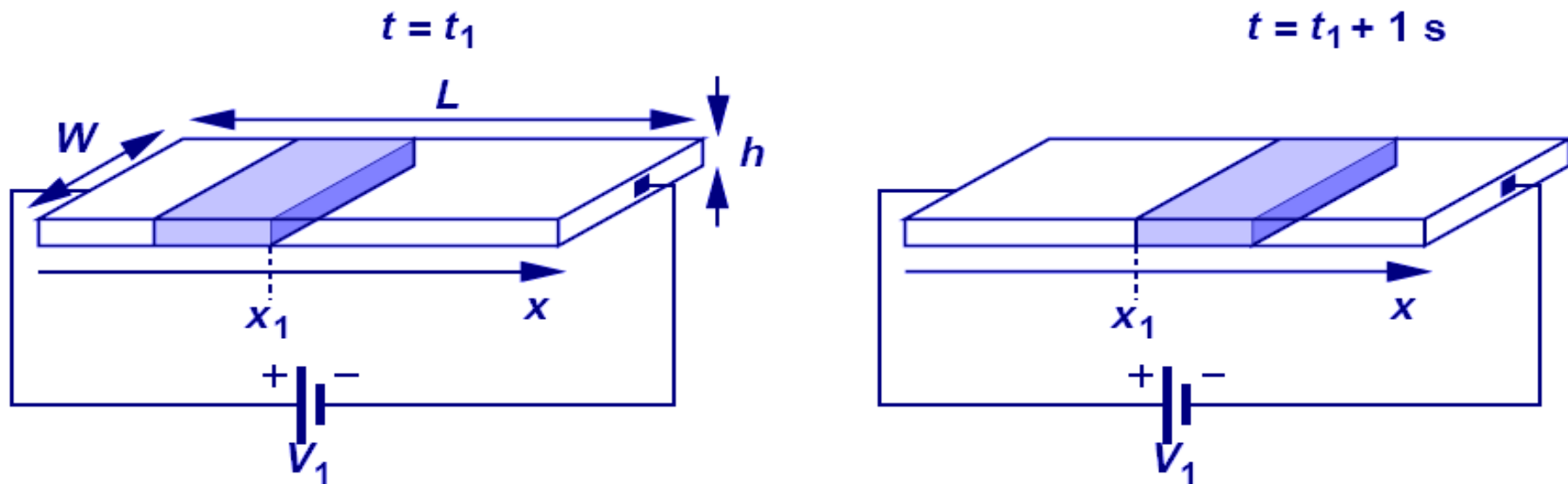
Since the material is uniform, we have  $E = V/L$ , where  $L$  is the length. Thus,  $E = 10000\ \text{V/cm}$  and hence  $v = \mu_n E = 1.35 \times 10^7\ \text{cm/s}$ . In other words, electrons take  $(1\ \mu\text{m}) / (1.35 \times 10^7\ \text{cm/s}) = 7.4\ \text{ps}$  to cross the  $1\text{-}\mu\text{m}$  length.





## Current Flow: General Case

- Electric current is calculated as the amount of charge in  $v$  meters that passes through a cross-section if the charge travels with a velocity of  $v$  m/s.



$$I = -v \cdot W \cdot h \cdot n \cdot q$$

$W$  and  $h$  are the dimension of the semiconductor bar,

$n$  is the carrier density,

and  $q$  is the charge of an electron equal to  $q = 1.6 \times 10^{-19} \text{ C}$

## Current Flow: Drift

- Since velocity is equal to  $\mu E$ , drift characteristic is obtained by substituting  $v$  with  $\mu E$  in the general current equation.
- Since  $A = W \cdot h$  is the cross section area of the bar
- And, the current density is  $J = I/A$ , therefore

$$J_n = \mu_n E \cdot n \cdot q$$

Also,

$$J_p = \mu_p E \cdot p \cdot q$$

- Thus the total current density consists of both electrons and holes.

$$J_{tot} = \mu_n E \cdot n \cdot q + \mu_p E \cdot p \cdot q = q(\mu_n n + \mu_p p)E$$

## Example 2.6

In an experiment, it is desired to obtain equal electron and hole drift currents. How should the carrier densities be chosen?

### Solution

We must impose  $\mu_n n = \mu_p p$ ,

and hence  $\frac{n}{p} = \frac{\mu_p}{\mu_n}$ .

We also recall that  $np = n_i^2$ . Thus,  $p = \sqrt{\frac{\mu_n}{\mu_p}} n_i$      $n = \sqrt{\frac{\mu_p}{\mu_n}} n_i$ .

For example, in silicon,  $\mu_n/\mu_p = 1350/480 = 2.81$ , yielding

$$p = 1.68 n_i, \text{ and } n = 0.596 n_i$$

Since  $p$  and  $n$  are of the same order as  $n_i$ , equal electron and hole drift currents can occur for only a very *lightly doped* material. This confirms our earlier notion of majority carriers in semiconductors having typical doping levels of  $10^{15}$ -  $10^{18}$  atoms/cm<sup>3</sup>.

輕微摻雜

## Total drift current density

- The semiconductor contains both electron and hole

$$- J = en\mu_n E + ep\mu_p E = (en\mu_n + ep\mu_p)E = \sigma E = E / \rho$$

- $\sigma$  is the conductivity of semiconductor in  $(\Omega\text{-cm})^{-1}$

- The conductivity is related to the concentration of the electronic and hole.

- $\rho$  is the resistivity of the semiconductor in  $(\Omega\text{-cm})$

- A linear relationship between current and voltage and is one form of ohm's law.

- $$\sigma = \frac{1}{\rho}$$

## Example

➤ Consider silicon at  $T = 300$  K doped with As atoms at a concentration of  $N_d = 8 \times 10^{15} \text{ cm}^{-3}$ . Assume mobility values are  $\mu_n = 1350 \text{ cm}^2/\text{V-s}$  and  $\mu_p = 480 \text{ cm}^2/\text{V-s}$ . Assume the applied electric field is  $100 \text{ V/cm}$ .

➤ Solution

– The electron concentration is

$$n = N_d = 8 \times 10^{15} \text{ cm}^{-3}$$

– The hole concentration is

$$p = \frac{n_i^2}{N_d} = \frac{(1.08 \times 10^{10})^2}{8 \times 10^{15}} = 1.46 \times 10^4 \text{ cm}^{-3}$$

Because of the difference in magnitudes between the two concentrations, the conductivity is given by

$$\sigma = e\mu_n n + e\mu_p p \cong e\mu_n n$$

Or

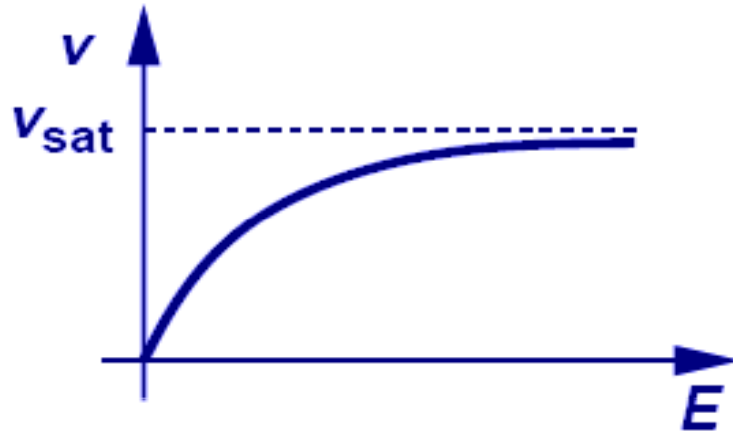
$$\sigma = (1.6 \times 10^{19})(1350)(8 \times 10^{15}) = 1.73 (\Omega - cm)^{-1}$$

The drift current density is then

$$J = \sigma E = (1.73)(100) = 173 A / cm^2$$

# Velocity Saturation

- A topic treated in more advanced courses is velocity saturation. 速度飽和
- In reality, velocity does not increase linearly with electric field. It will eventually saturate to a critical value.



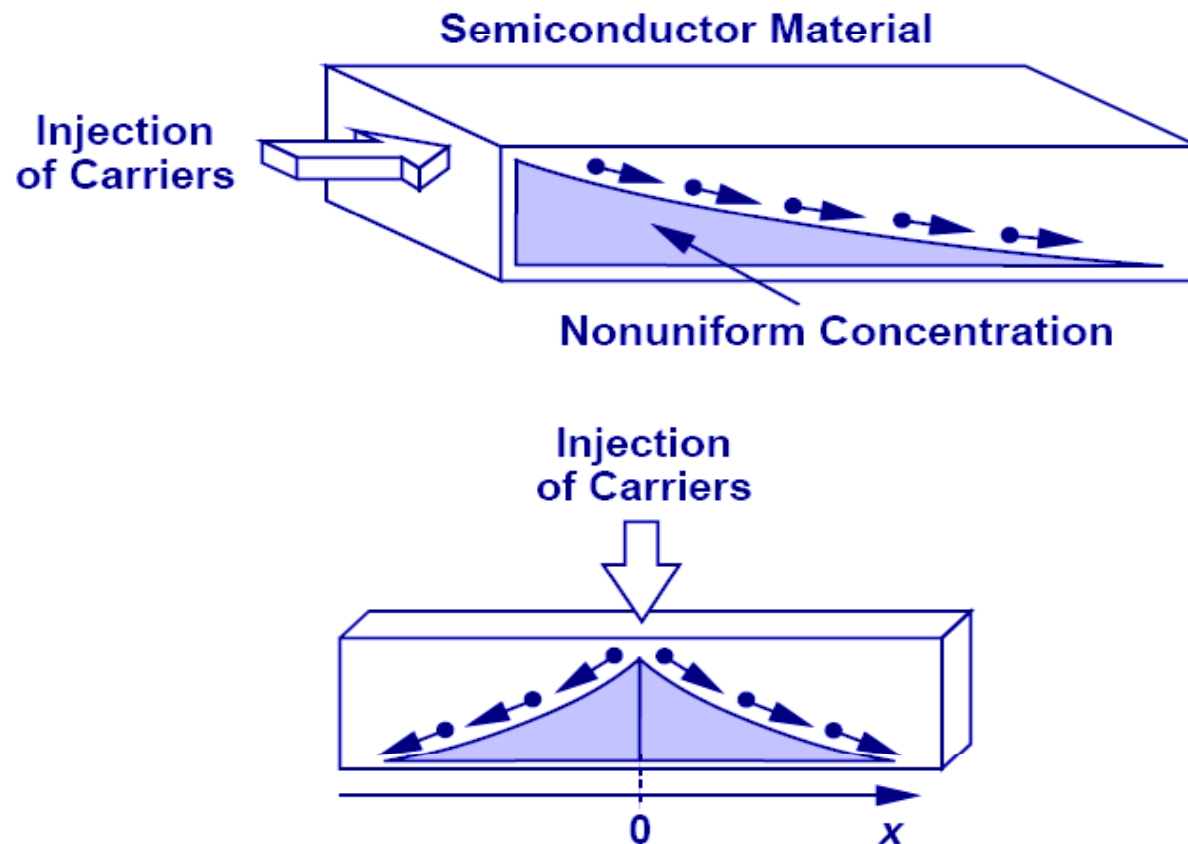
$$\mu = \frac{\mu_0}{1 + bE}$$

$$v_{sat} = \frac{\mu_0}{b}$$

$$v = \frac{\mu_0}{1 + \frac{\mu_0 E}{v_{sat}}} E$$

## Second Charge Transportation Mechanism: Diffusion

- Charge particles move from a region of high concentration to a region of low concentration, analogous to an every day example of an ink droplet in water.
- This is a statistical phenomenon related to kinetic theory.





# Current Flow: Diffusion

- Diffusion current is proportional to the *gradient of charge* ( $dn/dx$ ) along the direction of current flow. 電荷梯度 擴散常數
- $D$  is a proportionality factor called the “*diffusion constant*” and expressed in  $\text{cm}^2/\text{s}$ . For example, in intrinsic silicon,  $D_n = 34 \text{ cm}^2/\text{s}$  (for electrons), and  $D_p = 12 \text{ cm}^2/\text{s}$  (for holes).
- Its total current density consists of both electrons and holes.

$$I = AqD_n \frac{dn}{dx}$$

$$J_n = qD_n \frac{dn}{dx}$$

$$J_p = -qD_p \frac{dp}{dx}$$

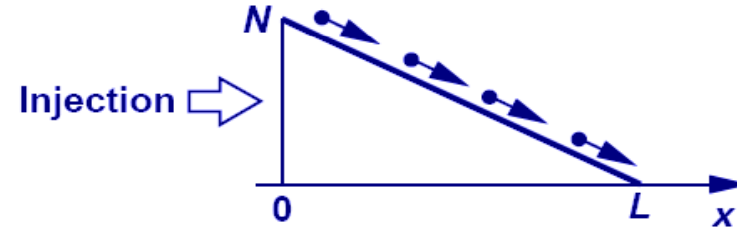
$$J_{tot} = q\left(D_n \frac{dn}{dx} - D_p \frac{dp}{dx}\right)$$

## Example 2.9 & 2.10

Consider the scenario depicted in Fig. 2.11 again. Suppose the electron concentration is equal to  $N$  at  $x = 0$  and falls linearly to zero at  $x = L$  (Fig. 2.13). Determine the diffusion current.

### Solution

We have 
$$J_n = qD_n \frac{dn}{dx} = -qD_n \cdot \frac{N}{L}.$$



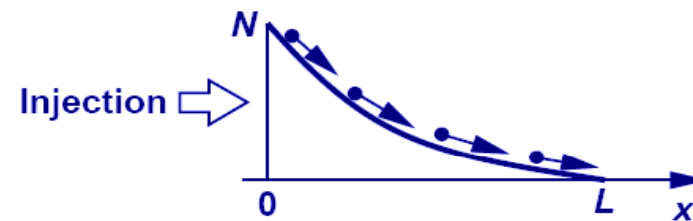
The current is constant along the  $x$ -axis; i.e., all of the electrons entering the material at successfully reach the point at  $x = L$ . While obvious, this observation prepares us for the next example.

Repeat the above example but assume an exponential gradient (Fig. 2.14):

$$n(x) = N \exp\left(-\frac{x}{L_d}\right), \quad \text{where } L_d \text{ is a constant.}$$

### Solution

We have 
$$J_n = qD_n \frac{dn}{dx} = \frac{-qD_n N}{L_d} \exp\left(-\frac{x}{L_d}\right).$$



Interestingly, the current is not constant along the  $x$ -axis. That is, some electrons vanish while traveling from  $x = 0$  to the right. What happens to these electrons? Does this example violate the law of conservation of charge?

# Einstein's Relation

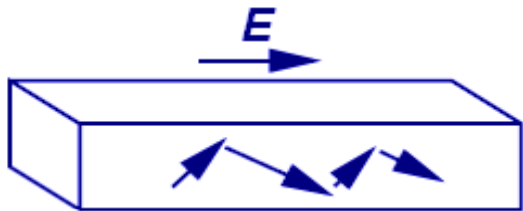
- While the underlying physics behind drift and diffusion currents are totally different, Einstein's relation provides a mysterious link between the two.

$$\frac{D}{\mu} = \frac{kT}{q}$$

Note that  $kT/q \approx 26 \text{ mV}$  at  $T = 300 \text{ K}$ .

- The charge transport mechanisms

Drift Current



$$J_n = q \mu_n E$$

$$J_p = q \mu_p E$$

Diffusion Current

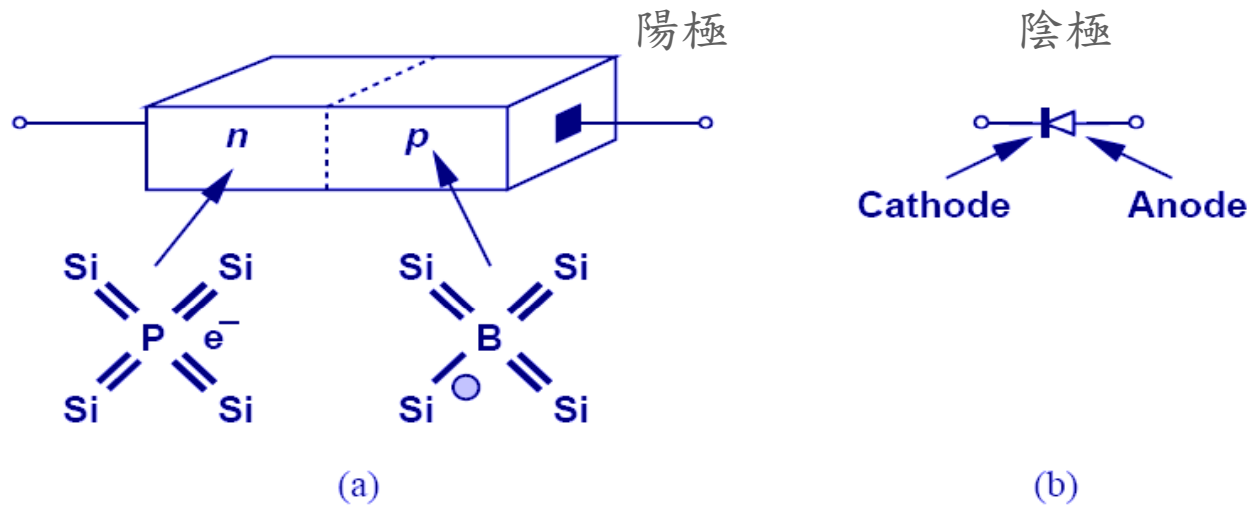


$$J_n = q D_n \frac{dn}{dx}$$

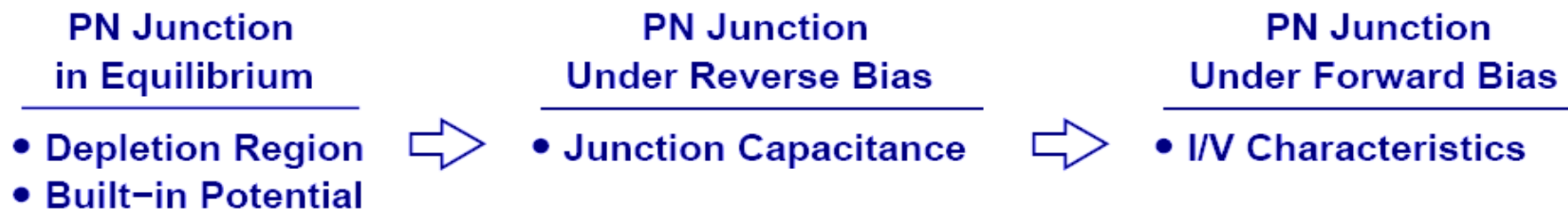
$$J_p = -q D_p \frac{dp}{dx}$$

# PN Junction (Diode)

- When N-type and P-type dopants are introduced side-by-side in a semiconductor, a PN junction or a diode is formed.
- The  $p$  and  $n$  sides are called the “**anode**” and the “**cathode**,” respectively.

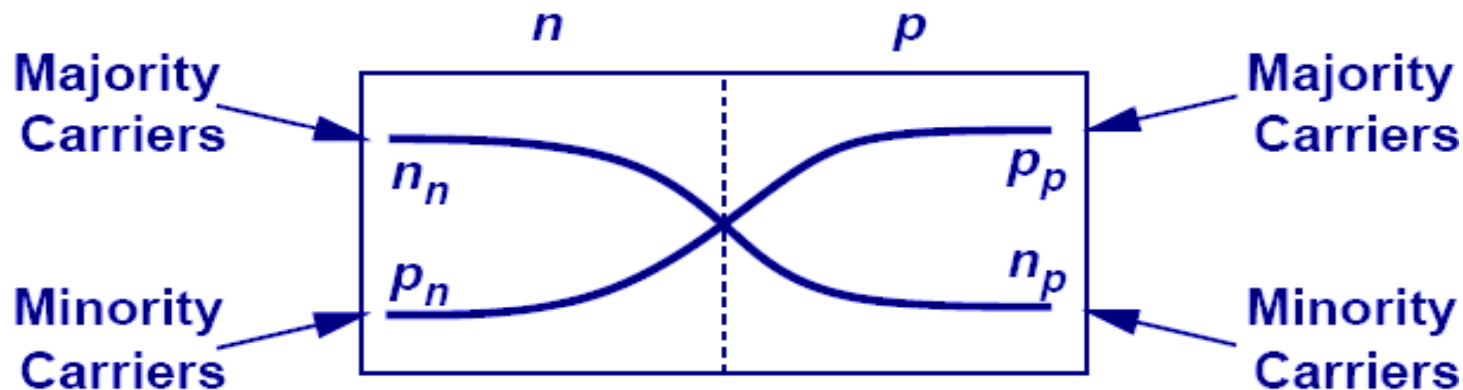


- In order to understand the operation of a diode, it is necessary to study its three operation regions: **equilibrium**, **reverse** bias, and **forward** bias.



# Current Flow Across Junction: Diffusion

- Because each side of the junction contains an excess of holes or electrons compared with the other side, there exists a large concentration gradient. Therefore, a diffusion current flows across the junction from each side.



$n_n$  : Concentration of electrons on n side

$p_n$  : Concentration of holes on n side

$p_p$  : Concentration of holes on p side

$n_p$  : Concentration of electrons on p side

## Example 2.11

A junction employs the following doping levels:  $N_A = 10^{16} \text{ cm}^{-3}$  and  $N_D = 5 \times 10^{15} \text{ cm}^{-3}$ . Determine the hole and electron concentrations on the two sides.

### Solution:

From Eqs. (2.11) and (2.12), we express the concentrations of holes and electrons on the  $p$  side respectively as:

$$p_p \approx N_A = 10^{16} \text{ cm}^{-3},$$

$$n_p \approx n_i^2/N_A = (1.08 \times 10^{10} \text{ cm}^{-3})^2/10^{16} \text{ cm}^{-3} \approx 1.17 \times 10^4 \text{ cm}^{-3}.$$

Similarly, the concentrations on the  $n$  side are given by

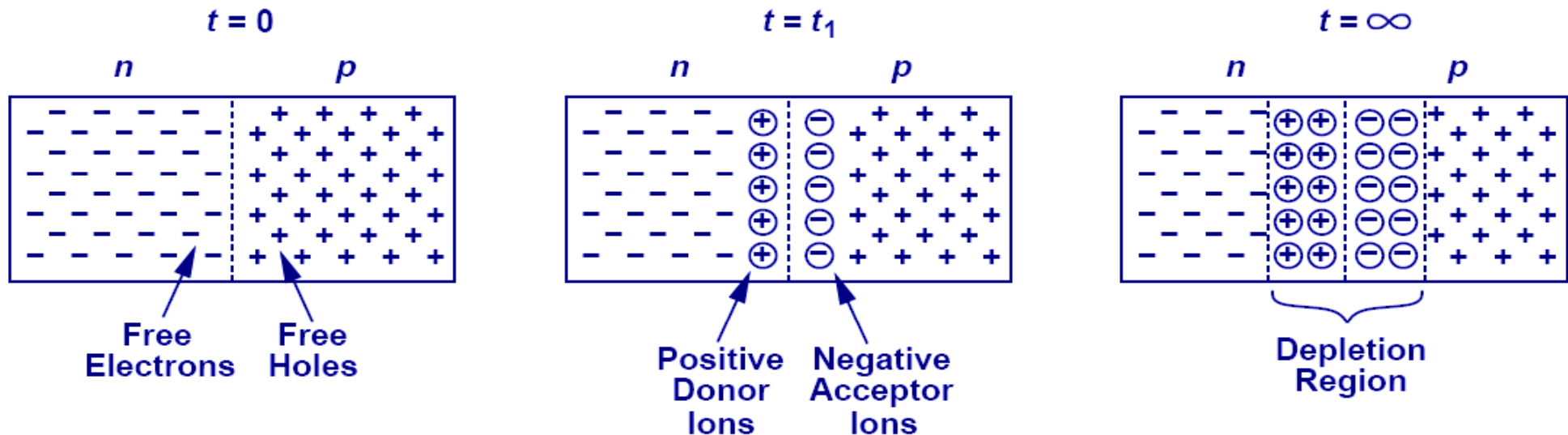
$$n_n \approx N_D = 5 \times 10^{15} \text{ cm}^{-3},$$

$$p_n \approx n_i^2/N_D = (1.08 \times 10^{10} \text{ cm}^{-3})^2/5 \times 10^{15} \text{ cm}^{-3} \approx 2.3 \times 10^4 \text{ cm}^{-3}.$$

Note that the majority carrier concentration on each side is many orders of magnitude higher than the minority carrier concentration on either side.

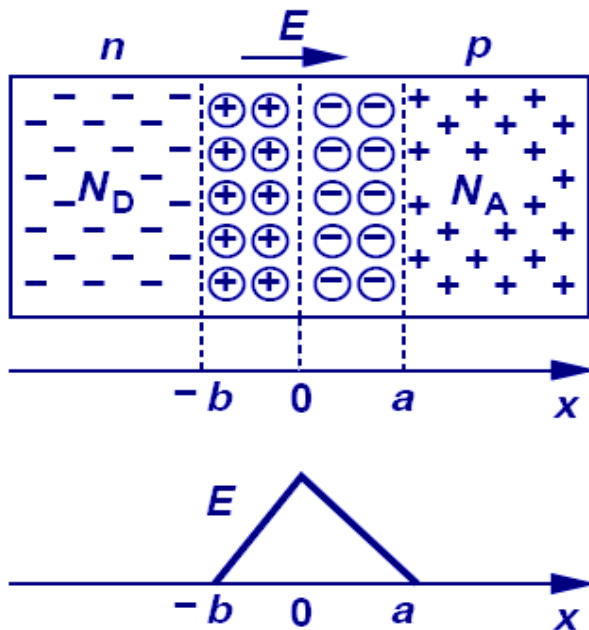
# Depletion Region

- As free electrons and holes diffuse across the junction, a region of fixed ions is left behind. This region is known as the “**depletion** region.” 空乏區
- The junction is suddenly formed at  $t = 0$ , and the diffusion currents continue to expose more ions as time progresses. Consequently, the immediate vicinity of the junction is depleted of free carriers and hence called the “depletion region.”
- What stops the diffusion currents?

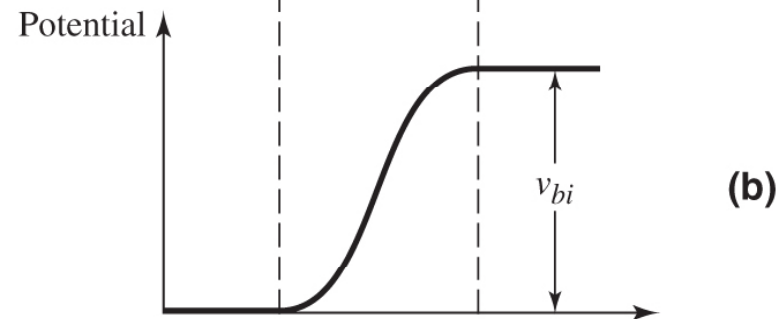
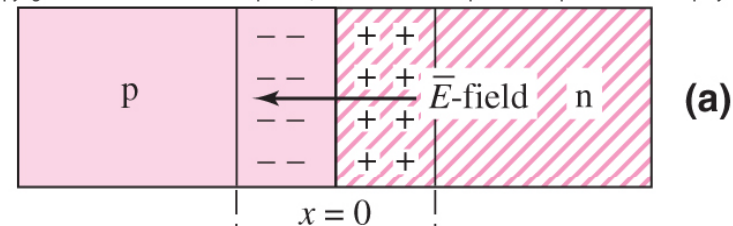


# Current Flow Across Junction: Drift

- The fixed ions in depletion region create an electric field that results in a drift current.
- The field tends to force positive charge flow from left to right whereas the concentration gradients necessitate the flow of holes from right to left.
- The junction reaches **equilibrium** once the electric field is strong enough to completely stop the diffusion currents.



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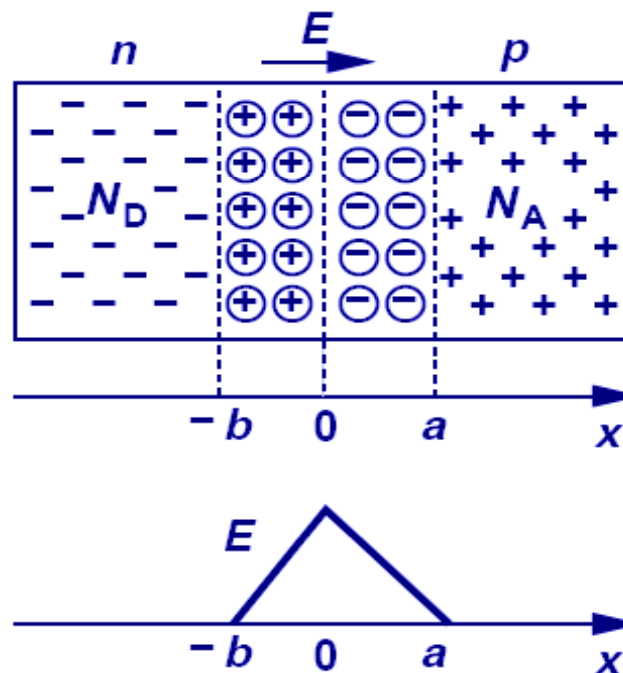


## Example 2.12

In the junction shown in Fig. 2.21, the depletion region has a width of  $b$  on the  $n$  side and  $a$  on the  $p$  side. Sketch the electric field as a function of  $x$ .

### Solution

Beginning at  $x < -b$ , we note that the absence of net charge yields  $E = 0$ . At  $x > -b$ , each positive donor ion contributes to the electric field, i.e., the magnitude of  $E$  rises as  $x$  approaches zero. As we pass  $x = 0$ , the negative acceptor atoms begin to contribute negatively to the field, i.e.,  $E$  falls. At  $x = a$ , the negative and positive charge exactly cancel each other and  $E = 0$ .



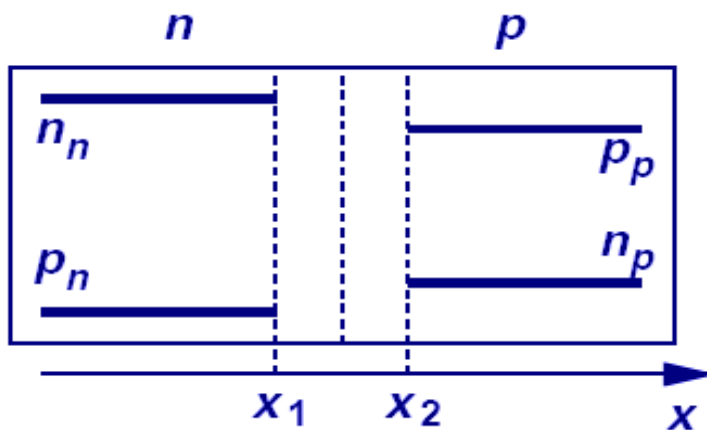
# Current Flow Across Junction: Equilibrium

- At equilibrium, the drift current flowing in one direction cancels out the diffusion current flowing in the opposite direction, creating a net current of zero.

$$| I_{drift,p} | = | I_{diff,p} |$$

$$| I_{drift,n} | = | I_{diff,n} |$$

- The figure shows the charge profile of the PN junction.



$$n_n = N_D \text{ and } p_p = N_A$$

where  $p_n$  and  $p_p$  are the hole concentrations at  $x_1$  and  $x_2$ , respectively.

# Built-in Potential

- Because of the electric field across the junction,  $E = -dV/dx$ , there exists a **built-in potential**,  $V_0$ . Its derivation is shown above. 內建障壁電壓

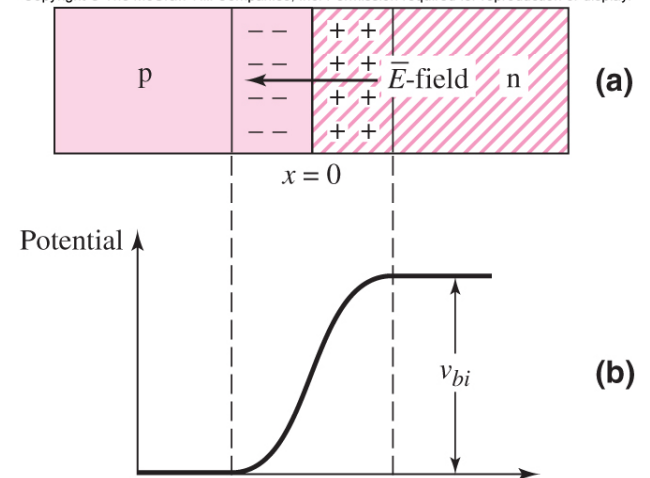
$$q\mu_p pE = -qD_p \frac{dp}{dx} \quad \Rightarrow \quad -\mu_p p \frac{dV}{dx} = -D_p \frac{dp}{dx}$$

$$\mu_p \int_{x_1}^{x_2} dV = D_p \int_{p_n}^{p_p} \frac{dp}{p} \quad \Rightarrow \quad V(x_2) - V(x_1) = \frac{D_p}{\mu_p} \ln \frac{p_p}{p_n}$$

- Replace  $D_p/\mu_p$  with  $kT/q$ . And  $p_p = N_A$ ,  $p_n = n_i^2/N_D$

$$V_0 = \frac{kT}{q} \ln \frac{p_p}{p_n}, \quad V_0 = \frac{kT}{q} \ln \frac{N_A N_D}{n_i^2}$$

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## Example 2.13 & 2.14

A silicon *pn* junction employs  $N_A = 2 \times 10^{16} \text{ cm}^{-3}$  and  $N_D = 4 \times 10^{16} \text{ cm}^{-3}$ . Determine the built-in potential at room temperature ( $T = 300 \text{ K}$ ).

### Solution

Recall from Example 2.1 that  $n_i(T = 300 \text{ K}) = 1.08 \times 10^{10} \text{ cm}^{-3}$ . Thus,

$$V_0 \approx (26\text{mV}) \ln \frac{(2 \times 10^{16}) \times (4 \times 10^{16})}{(1.08 \times 10^{10})^2} \approx 768\text{mV}.$$

---

The built-in potential equation reveals that  $V_0$  is a weak function of the doping levels. How much does  $V_0$  change if  $N_A$  or  $N_D$  is increased by one order of magnitude?

### Solution

We can write

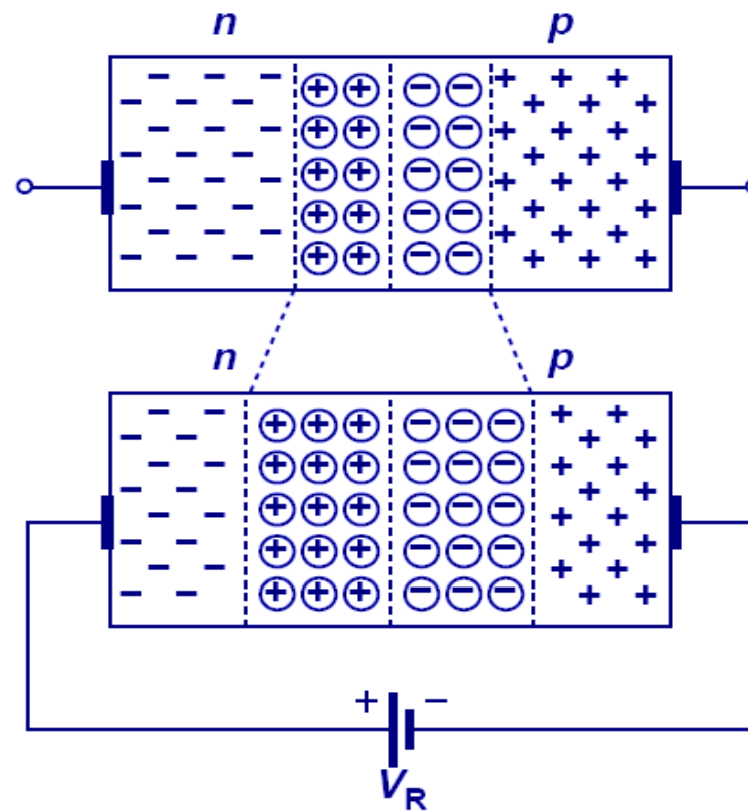
$$\Delta V_0 = V_T \ln \frac{10N_A \cdot N_D}{n_i^2} - V_T \ln \frac{N_A \cdot N_D}{n_i^2} = V_T \ln 10 \approx 60\text{mV}(\text{at } T = 300\text{K}).$$

摻雜濃度增加10倍， $V_0$ 只增加60mV，不到1/10

# Diode in Reverse Bias

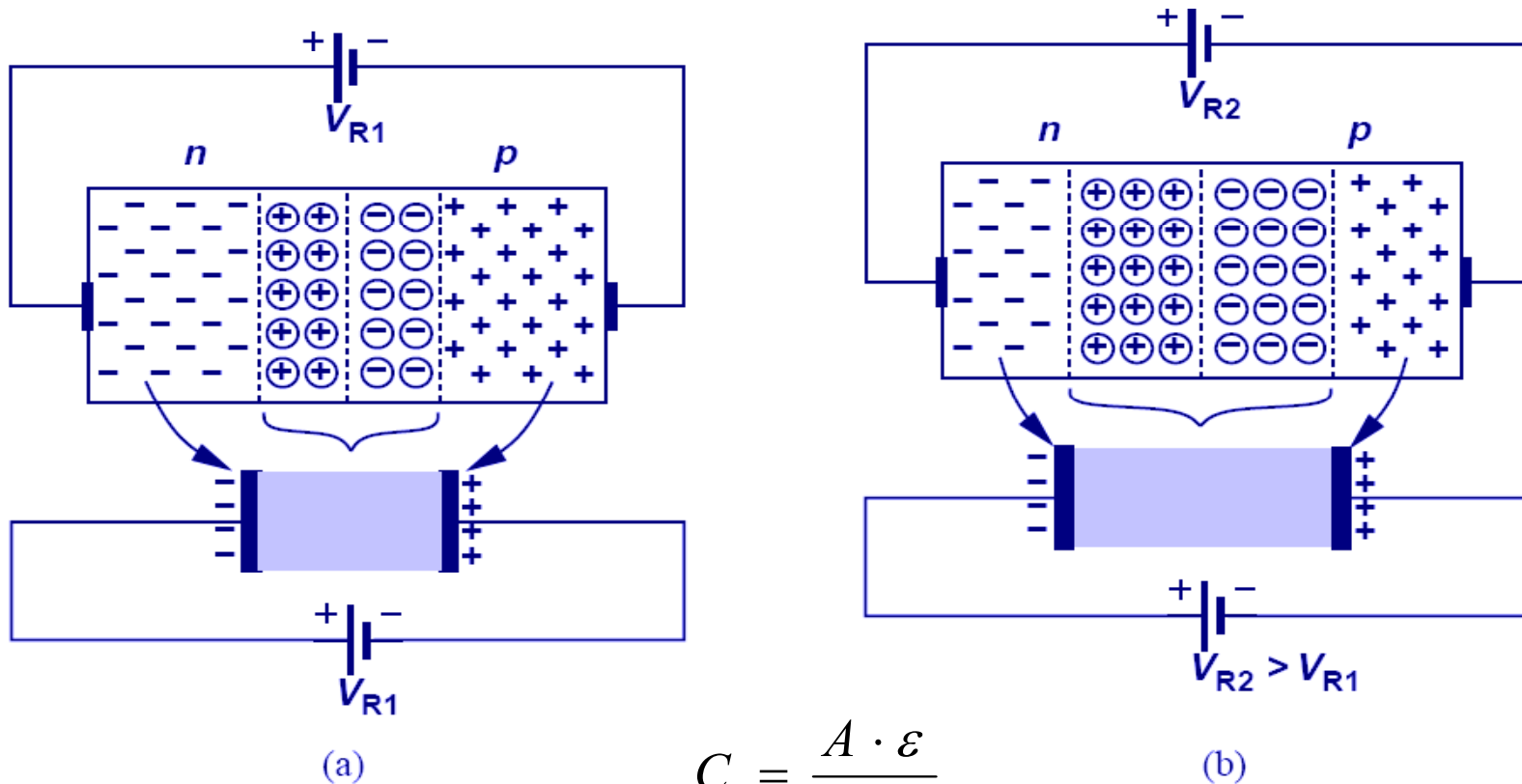
- When the N-type region of a diode is connected to a higher potential than the P-type region, the diode is under **reverse bias**, which results in wider depletion region and larger built-in electric field across the junction.

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# Reverse Biased Diode's Application: Voltage-Dependent Capacitor

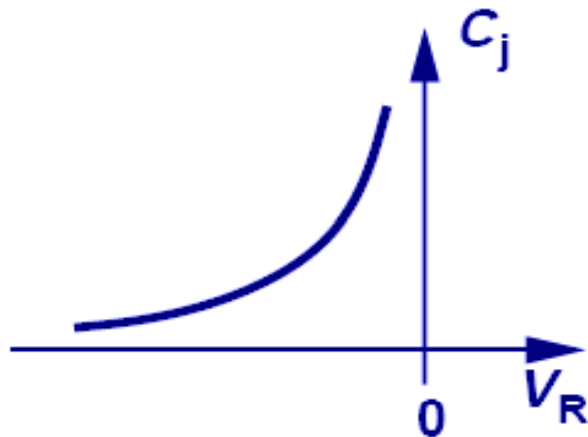
- The PN junction can be viewed as a capacitor. 電壓控制電容器
- By varying  $V_R$ , the depletion width changes, changing its capacitance value; therefore, the PN junction is actually a voltage-dependent capacitor.



$$C = \frac{A \cdot \epsilon}{d}$$

# Voltage-Dependent Capacitance

- The equations that describe the voltage-dependent capacitance are shown.
- It is also called **varactor diodes**. 變容器
- $C_j$  decreases as  $|V_R|$  increases.



$$C_j = \frac{C_{j0}}{\sqrt{1 + \frac{V_R}{V_0}}}$$

$$C_{j0} = \sqrt{\frac{\epsilon_{si} q}{2} \frac{N_A N_D}{N_A + N_D} \frac{1}{V_0}}$$

where  $\epsilon_{si}$  represents the *dielectric constant* of silicon and is equal to  $\epsilon_r \epsilon_0 = 11.7 \times 8.85 \times 10^{-14}$  F/cm

## Example 2.15

A *pn* junction is doped with  $N_A = 2 \times 10^{16} \text{ cm}^{-3}$  and  $N_D = 9 \times 10^{15} \text{ cm}^{-3}$ . Determine the capacitance of the device with  $V_R = 0$  and  $V_R = 1 \text{ V}$ .

### Solution

We first obtain the built-in potential:  $V_0 = V_T \ln \frac{N_A N_D}{n_i^2} = 0.73 \text{ V}$ .

Thus, for  $V_R = 0$  and  $q = 1.6 \times 10^{-19} \text{ C}$ , we have

$$C_{j0} = \sqrt{\frac{\epsilon_{si} q}{2} \frac{N_A N_D}{N_A + N_D} \cdot \frac{1}{V_0}} = 2.65 \times 10^{-8} \text{ F/cm}^2.$$

In microelectronics, we deal with very small devices and may rewrite this result as

$$C_{j0} = 0.265 \text{ fF}/\mu\text{m}^2,$$

where 1 fF (femtofarad) =  $10^{-15} \text{ F}$ .

For  $V_R = 1 \text{ V}$ ,

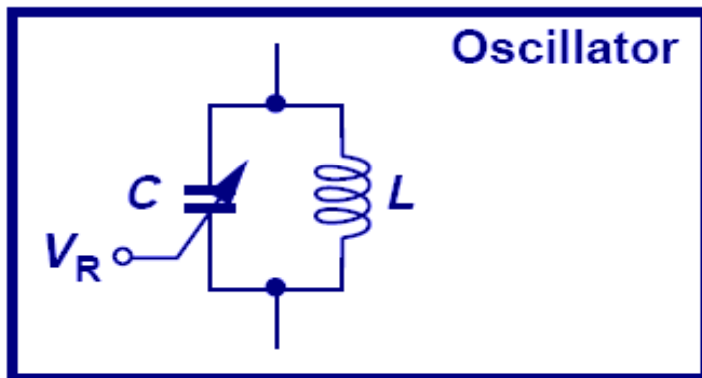
$$C_j = \frac{C_{j0}}{\sqrt{1 + \frac{V_R}{V_0}}} = 0.172 \text{ fF}/\mu\text{m}^2.$$



# Voltage-Controlled Oscillator (VCO)

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- A very important application of a reverse-biased PN junction is VCO, in which an LC tank is used in an oscillator.
- By changing  $V_R$ , we can change  $C$ , which also changes the oscillation frequency.



$$f_{res} = \frac{1}{2\pi} \frac{1}{\sqrt{LC}}$$

## Example 2.16

A cellphone incorporates a 2-GHz oscillator whose frequency is defined by the resonance frequency of an LC tank. If the tank capacitance is realized as the pn junction of Example 2.15, calculate the change in the oscillation frequency while the reverse voltage goes from 0 to 2 V. Assume the circuit operates at 2 GHz at a reverse voltage of 0 V, and the junction area is  $2000 \mu\text{m}^2$ .

### Solution

Recall from basic circuit theory that the tank “resonates” if the impedances of the inductor and the capacitor are equal and opposite:  $jL\omega_{res} = -(jC\omega_{res})^{-1}$ . Thus, the resonance frequency is equal to  $f_{res} = 1/(2\pi\sqrt{LC})$ . At  $V_R = 0$ ,  $C_j = 0.265 \text{ fF}/\mu\text{m}^2$ , yielding a total device capacitance of  $C_{j,tot}(V_R=0) = (0.265 \text{ fF}/\mu\text{m}^2) \times (2000 \mu\text{m}^2) = 530 \text{ fF}$ .

Setting  $f_{res}$  to 2 GHz, we obtain  $L = 11.9 \text{ nH}$ .

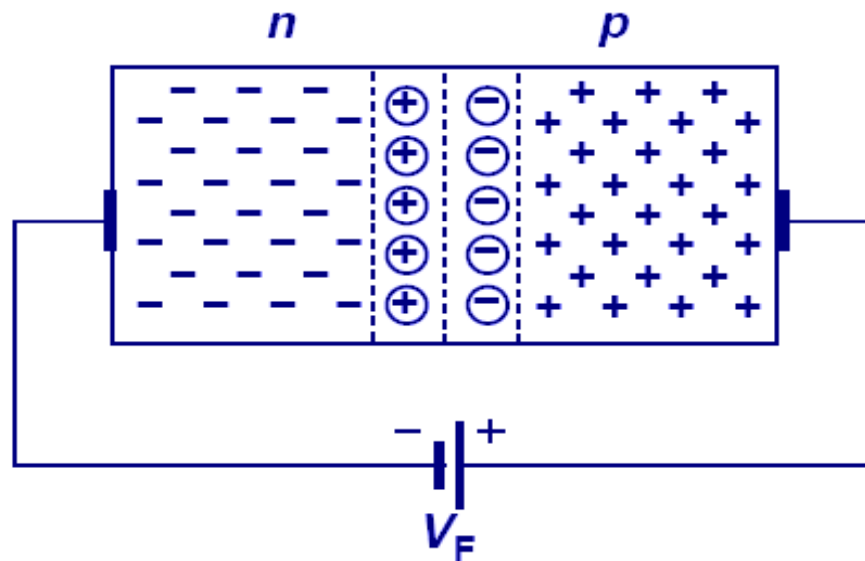
$$\text{If } V_R \text{ goes to } 2\text{V, } C_{j,tot}(V_R = 2\text{V}) = \frac{C_{j0}}{\sqrt{1 + \frac{2}{0.73}}} \times 2000 \mu\text{m}^2 = 274 \text{ fF}$$

Using this value along with  $L = 11.9 \text{ nH}$ , we have  $f_{res}(V_R=2) = 2.79 \text{ GHz}$ .

An oscillator whose frequency can be varied by an external voltage ( $V_R$  in this case) is called a “voltage-controlled oscillator” and used extensively in cellphones, microprocessors, personal computers, etc.

## Diode in Forward Bias

- When the N-type region of a diode is at a lower potential than the P-type region, the diode is in **forward bias**. 順向偏壓
- The external voltage,  $V_F$ , tends to create a field directed from the  $p$  side toward the  $n$  side—opposite to the built-in field that was developed to stop the diffusion currents.
- The depletion width is shortened and the built-in electric field decreased.



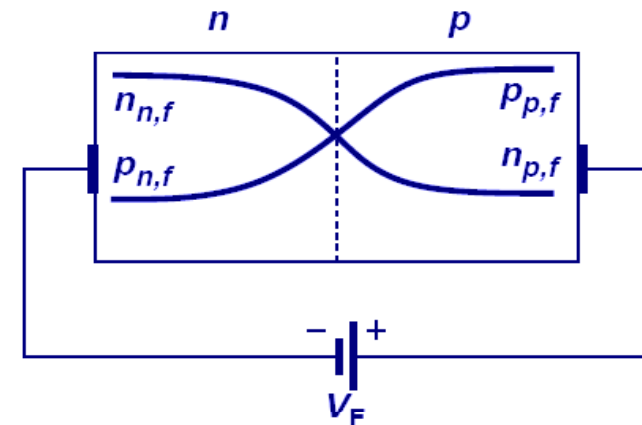
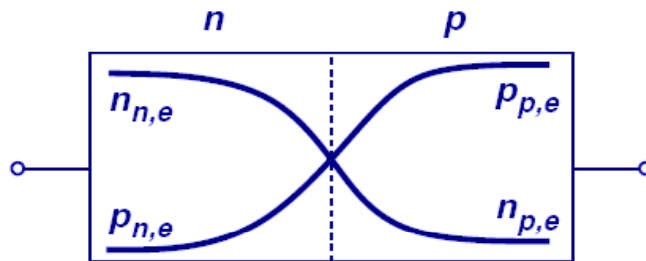
# Minority Carrier Profile in Forward Bias

➤ Under forward bias, minority carriers in each region increase due to the lowering of built-in field/potential.

➤ Therefore, diffusion currents increase to supply these minority carriers.

➤ In equilibrium,  $p_{n,e} = \frac{p_{p,e}}{\exp \frac{V_0}{V_T}}$  and in forward bias  $p_{n,f} = \frac{p_{p,f}}{\exp \frac{V_0 - V_F}{V_T}}$

$$V_0 = \frac{kT}{q} \ln \frac{p_p}{p_n}$$



- We expect  $p_{n,f}$  to be much higher than  $p_{n,e}$  (it can be proved that  $p_{p,f} \approx p_{p,e} \approx N_A$ ).
- In other words, the minority carrier concentration on the  $p$  side rises rapidly with the forward bias voltage while the majority carrier concentration remains relatively constant. This statement applies to the  $n$  side as well.

## Diffusion Current in Forward Bias

- As the junction goes from equilibrium to forward bias,  $n_p$  and  $p_n$  increase dramatically, leading to a proportional change in the diffusion currents.
- We can express the change in the hole concentration on the  $n$  side as:

$$\Delta p_n = p_{n,f} - p_{n,e} = \frac{p_{p,f}}{\exp \frac{V_0 - V_F}{V_T}} - \frac{p_{p,e}}{\exp \frac{V_0}{V_T}} \approx \frac{N_A}{\exp \frac{V_0}{V_T}} \left( \exp \frac{V_F}{V_T} - 1 \right).$$

- Similarly, for the electron concentration on the  $p$  side:

$$\Delta n_p \approx \frac{N_D}{\exp \frac{V_0}{V_T}} \left( \exp \frac{V_F}{V_T} - 1 \right)$$

- The total increased diffusion current is proportional to:

$$I_{tot} \propto \frac{N_A}{\exp \frac{V_0}{V_T}} \left( \exp \frac{V_F}{V_T} - 1 \right) + \frac{N_D}{\exp \frac{V_0}{V_T}} \left( \exp \frac{V_F}{V_T} - 1 \right)$$

## IV Characteristic of Diode

➤ The current characteristic of diode can be proved that

$$I_{tot} = I_s \left( \exp \frac{V_F}{V_T} - 1 \right) = I_s \left( e^{\frac{V_F}{V_T}} - 1 \right)$$

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where  $I_s = Aqn_i^2 \left( \frac{D_n}{N_A L_n} + \frac{D_p}{N_D L_p} \right)$  is called the “**reverse saturation current**”

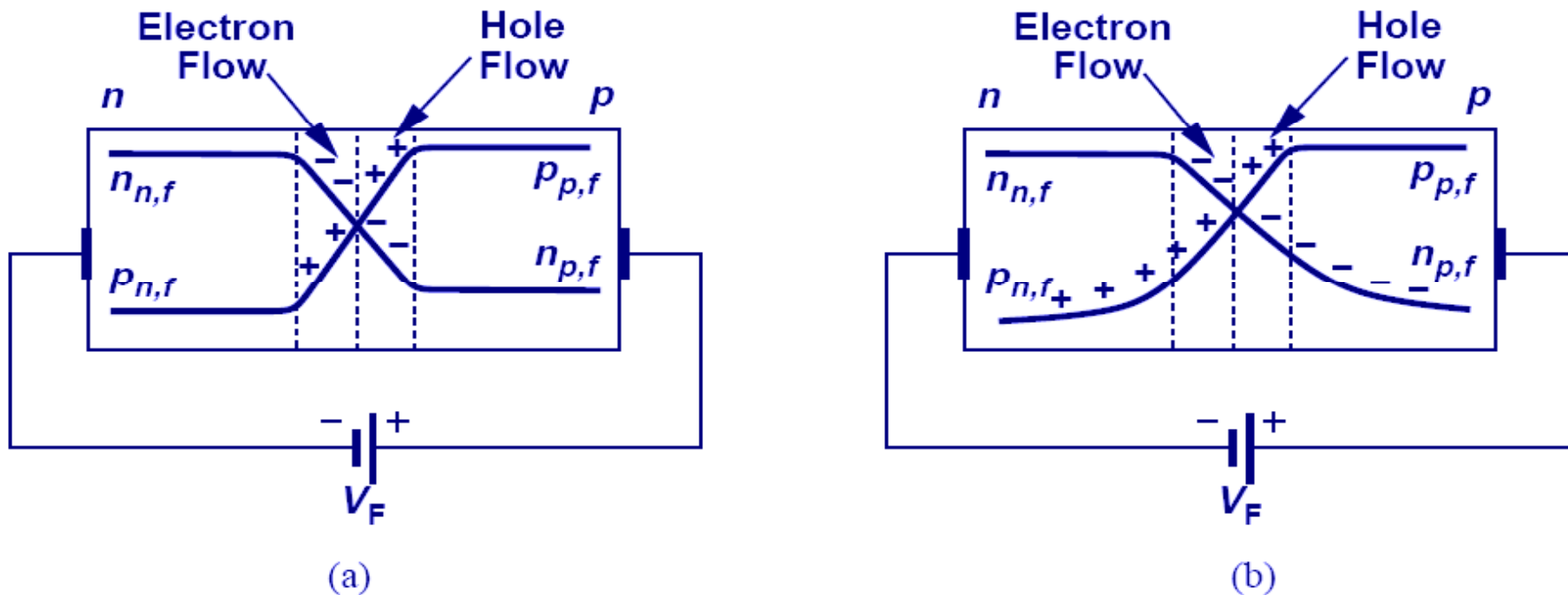
and  $A$  is the cross section area of the device;  $L_n$  and  $L_p$  are electron and hole “diffusion lengths,” respectively, typically in the range of tens of micrometers.

**Example 2.17:** Determine  $I_s$  for the junction of Example 2.13 at  $T = 300\text{K}$  if  $A = 100\mu\text{m}^2$ ,  $L_n = 20 \mu\text{m}$ , and  $L_p = 30 \mu\text{m}$ .

**Solution:** Using  $q = 1.6 \times 10^{-19} \text{C}$ ,  $n_i = 1.08 \times 10^{10} \text{ electrons/cm}^3$ ,  $D_n = 34 \text{ cm}^2/\text{s}$ , and  $D_p = 12 \text{ cm}^2/\text{s}$ , we have  $I_s = 1.77 \times 10^{-17} \text{ A}$ .

Since  $I_s$  is extremely small, the exponential term in Eq. (2.98) must assume very large values so as to yield a useful amount (e.g., 1 mA) for  $I_{tot}$ .

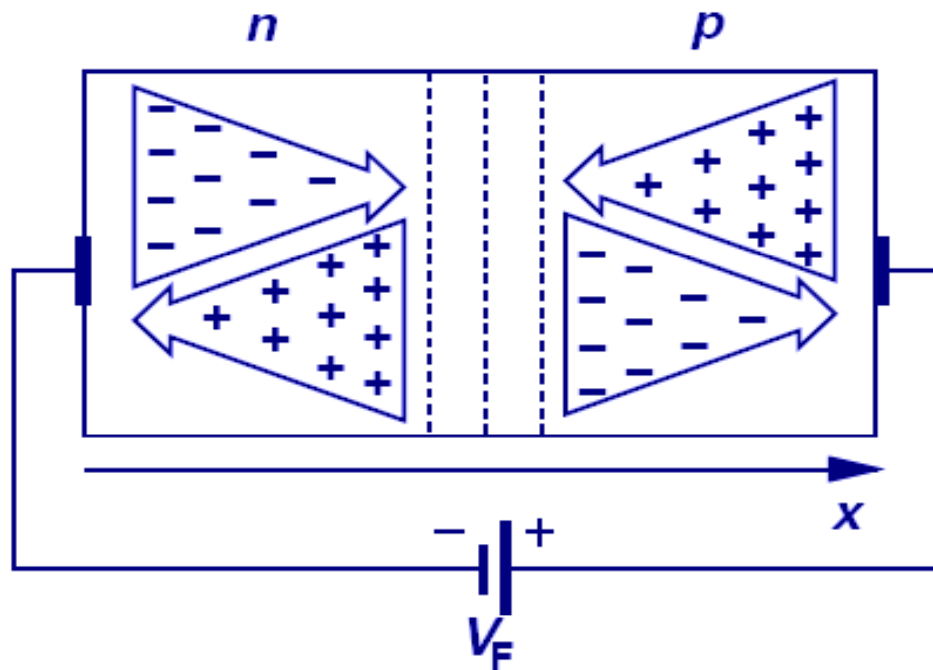
# Minority Charge Gradient



- Minority charge profile should not be constant along the x-axis, as Fig. (a) shows; otherwise, there is no concentration gradient and no diffusion current.
- Recombination of the minority carriers with the majority carriers accounts for the dropping of minority carriers as they go deep into the P or N region, as shown in Fig. (b).

## Forward Bias Condition: Summary

- In forward bias, there are large diffusion currents of minority carriers through the junction.
- However, as we go deep into the P and N regions, recombination currents from the majority carriers dominate.
- These two currents add up to a constant value.





## IV Characteristic of PN Junction

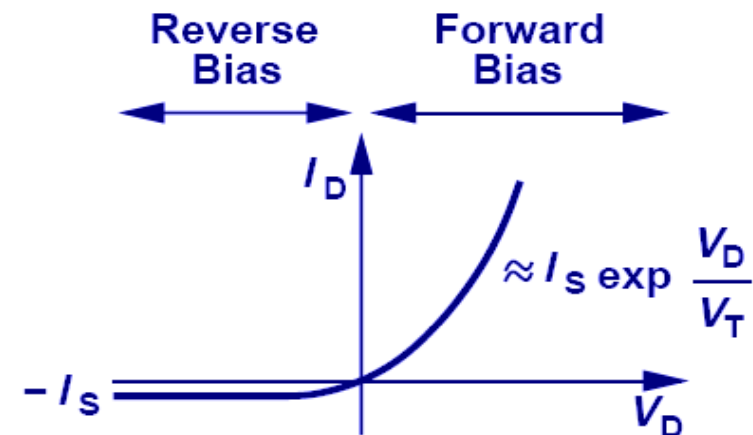
- The current and voltage relationship of a PN junction is exponential in forward bias region, and relatively constant in reverse bias region.

$$I_D = I_S \left( \exp \frac{V_D}{V_T} - 1 \right)$$

where  $I_D$  and  $V_D$  denote the diode current and voltage, respectively.

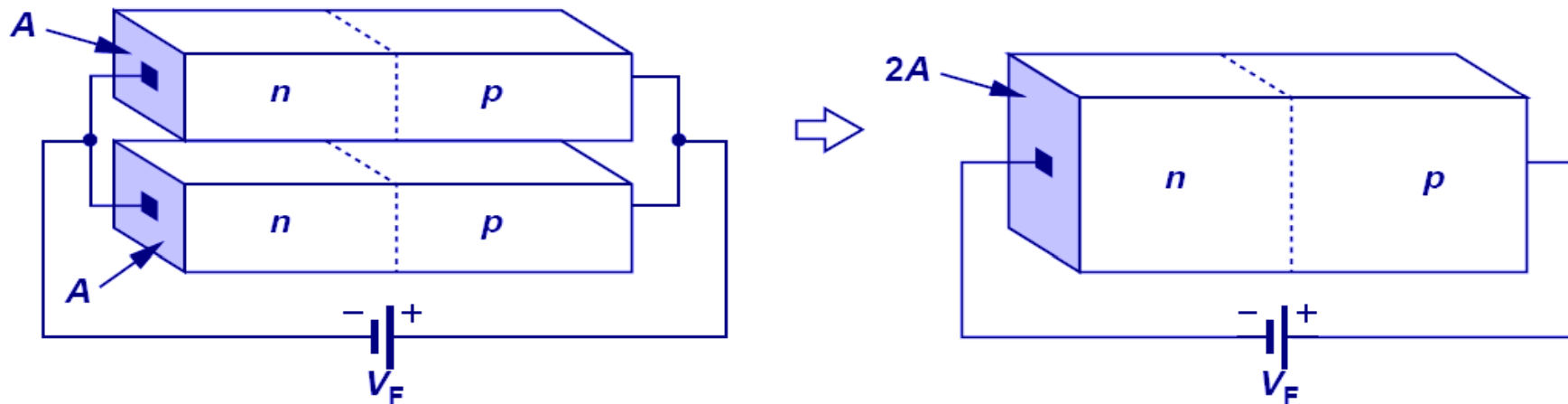
- As expected,  $V_D = 0$  yields  $I_D = 0$ .
- As  $V_D$  becomes positive and exceeds several  $V_T$ , the exponential term grows rapidly and  $I_D = I_S \exp(V_D/V_T)$ . We hereafter assume in the forward bias region.
- If  $V_D < 0$  and  $|V_D|$  reaches several  $V_T$ , then  $I_D \approx I_S$
- $I_S$  is called the “reverse saturation current,” typically small, and can be regarded as “**leakage**” current

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## Parallel PN Junctions

- Since junction currents are proportional to the junction's cross-section area. Two PN junctions put in parallel are effectively one PN junction with twice the cross-section area, and hence twice the current.



**Example 2.18:** Each junction in Fig. 2.32 employs the doping levels described in Example 2.13. Determine the forward bias current of the composite device for  $V_D = 300$  mV and 800 mV at  $T = 300$  K.

**Solution:** From Example 2.17,  $I_S = 1.77 \times 10^{-17}$  A for each junction. Thus, the total current is equal to

$$I_{D,tot}(V_D = 300\text{mV}) = 2I_S \left( \exp \frac{V_D}{V_T} - 1 \right) = 3.63 \text{ pA.}$$

Similarly, for  $V_D = 800$  mV:  $I_{D,tot}(V_D = 800\text{mV}) = 816 \text{ } \mu\text{A}$

## Example 2.19

A diode operates in the forward bias region with a typical current level [i.e.,  $I_D \approx I_S \exp(V_D/V_T)$ ]. Suppose we wish to increase the current by a factor of 10. How much change in  $V_D$  is required?

### Solution:

Let us first express the diode voltage as a function of its current:

$$V_D = V_T \ln \frac{I_D}{I_S}.$$

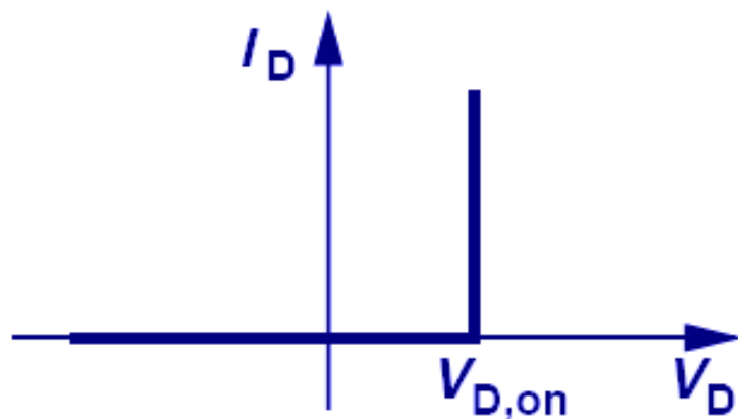
We define  $I_1 = 10I_D$  and seek the corresponding voltage,  $V_{D1}$ :

$$V_{D1} = V_T \ln \frac{10I_D}{I_S} = V_T \ln \frac{I_D}{I_S} + V_T \ln 10 = V_D + V_T \ln 10.$$

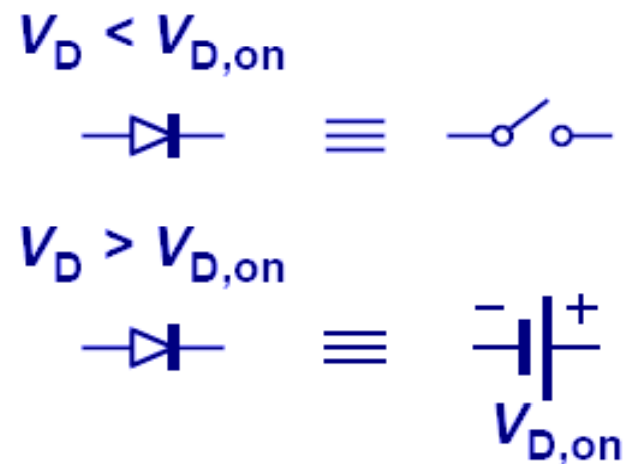
Thus, the diode voltage must rise by  $V_T \ln 10 \approx 60$  mV (at 300 K) to accommodate a tenfold increase in the current. We say the device exhibits a 60-mV/decade characteristic, meaning  $V_D$  changes by 60 mV for a decade (tenfold) change in  $I_D$ . More generally, an  $n$ -fold change in  $I_D$  translates to a change of  $V_T \ln n$  in  $V_D$ .

## Constant-Voltage Diode Model

- With typical current levels and areas,  $V_D$  falls in the range of 700 - 800 mV.
- We often approximate the forward bias voltage by a *constant* value of 800 mV,  $V_{D,on}$ , (like an ideal battery), considering the device fully off if  $V_D < 800$  mV.
- Diode operates as an open circuit if  $V_D < V_{D,on}$  and a constant voltage source of  $V_{D,on}$  if  $V_D$  tends to exceed  $V_{D,on}$ .



(a)



(b)

## Example 2.21

Consider the circuit of Fig. 2.34. Calculate  $I_X$  for  $V_X = 3\text{ V}$  and  $V_X = 1\text{ V}$  using (a) an exponential model with  $I_S = 10^{-16}\text{ A}$  and (b) a constant-voltage model with  $V_{D,on} = 800\text{ mV}$ .

### Solution:

(a) Noting that  $I_D = I_X$ , we have  $V_X = I_X R_1 + V_D$ , and  $V_D = V_T \ln(I_X/I_S)$

This equation must be solved by iteration: we guess a value for  $V_D$ , compute the corresponding  $I_X$  from  $I_X R_1 = V_X - V_D$  determine the new value of  $V_D$  from  $V_D = V_T \ln(I_X/I_S)$  and iterate. Let us guess  $V_D = 750\text{ mV}$  and hence

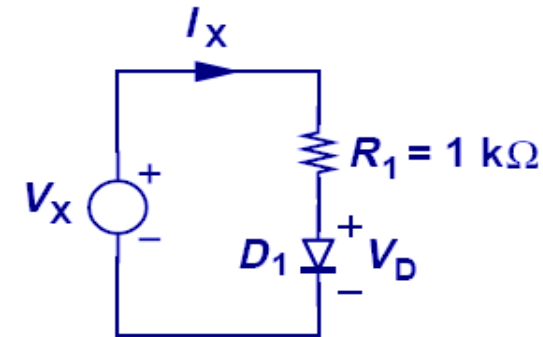
$$I_X = \frac{V_X - V_D}{R_1} = \frac{3\text{V} - 0.75\text{V}}{1\text{k}\Omega} = 2.25\text{mA}.$$

Thus,

$$V_D = V_T \ln \frac{I_X}{I_S} = 799\text{mV}.$$

With this new value of  $V_D$ , we can obtain a more accurate value for  $I_X$ :

$$I_X = \frac{3\text{V} - 0.799\text{V}}{1\text{k}\Omega} = 2.201\text{mA}.$$



## Example 2.21 (cnt'd)

We note that the value of  $I_X$  rapidly converges. Following the same procedure for  $V_X = 1$  V, we have

$$I_X = \frac{1\text{V} - 0.75\text{V}}{1\text{k}\Omega} = 0.25\text{mA},$$

which yields  $V_D = 0.742$  V and hence  $I_X = 0.258$  mA.

(b) A constant-voltage model readily gives

$$I_X = 2.2 \text{ mA for } V_X = 3\text{V}$$

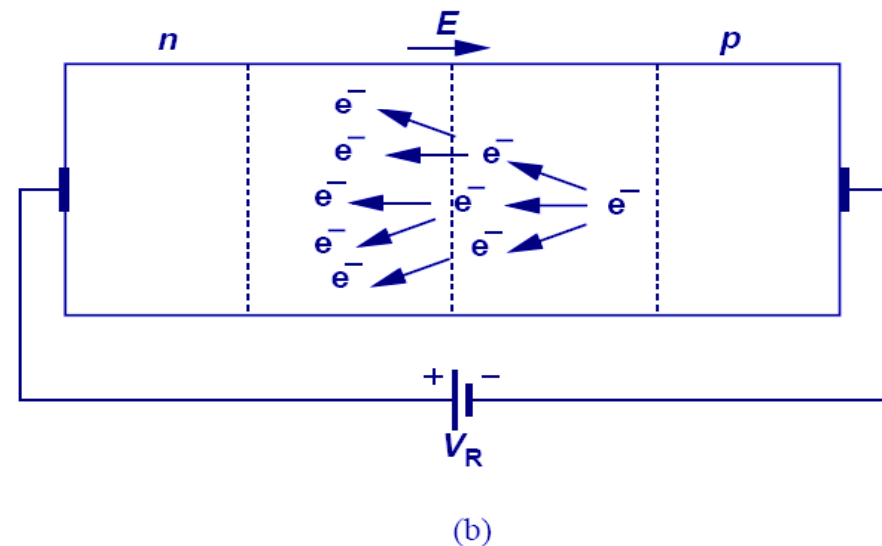
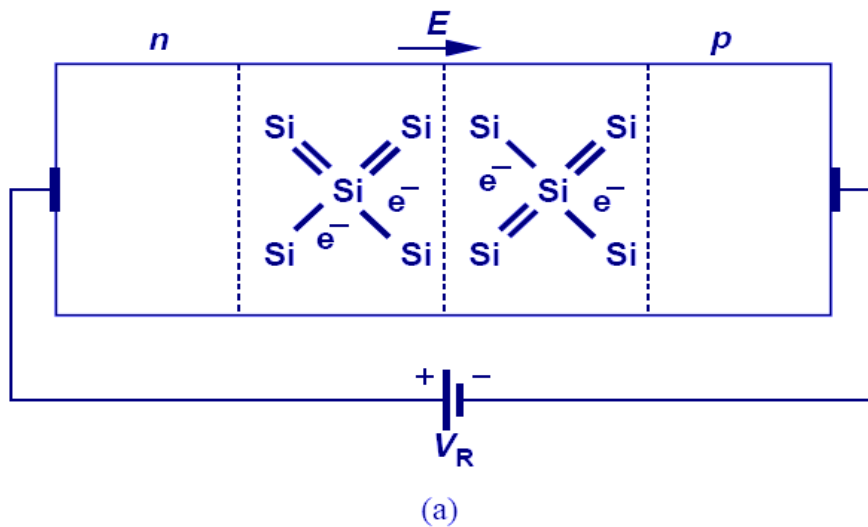
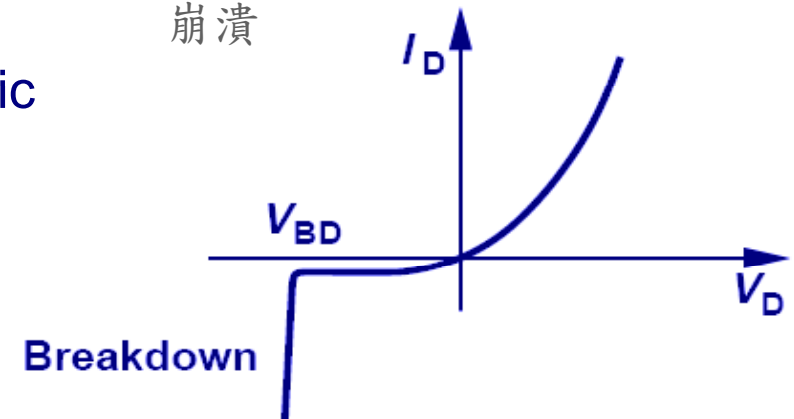
$$I_X = 0.2 \text{ mA for } V_X = 1\text{V}$$

The value of  $I_X$  incurs some error, but it is obtained with much less computational effort than that in part (a).

# Reverse Breakdown

- When a large reverse bias voltage is applied, **breakdown** occurs and an enormous current flows through the diode.
- **Zener** breakdown is a result of the large electric field inside the depletion region that breaks electrons or holes off their covalent bonds.
- **Avalanche** breakdown is a result of electrons or holes colliding with the fixed ions inside the depletion region.

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# Temperature Effect

- For a given current,  $V_D$  decreases as  $T$  increases.
  - $I_S$  and  $V_T$  are function of temperature
  - For *Si* diodes,  $V_D$  increases approximately 2 mV/°C.
  - $I_S$  is a function of  $n_i$ , which in turn is dependent on  $T$ .
  - Theoretically,  $I_S$  doubles for every 5 °C increase in temperature.
  - Realistically, doubles for 10 °C rise, including the  $V_T$  influence

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